



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/746,933	12/22/2000	Charles L. Bruzzone	55376USA4A

CONFIRMATION NO. 3760

FORMALITIES LETTER



OC00000005737546

Office of Intellectual Property Counsel
3M Innovative Properties Company
PO Box 33427
St. Paul, MN 55133-3427

Date Mailed: 02/06/2001

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 3 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must be filed within TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must be filed within TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if

necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



✓ 036

Patent
Docket No: 49837USA4K.051

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David J. W. Aastuen and
Charles L. Bruzzone

Serial No.: 09/746,933

Filed: December 22, 2000

For: REFLECTIVE LCD PROJECTION SYSTEM USING
WIDE-ANGLE CARTESIAN POLARIZING BEAM
SPLITTER AND COLOR SEPARATION AND
RECOMBINATION PRISMSGroup Art Unknown
Unit:

Examiner: Unknown

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

April 24, 2001

Date

Signature

**RESPONSE TO NOTICE OF OMITTED ITEM(S) IN A
NON-PROVISIONAL APPLICATION**

Commissioner for Patents
Washington, DC 20231

Dear Sir:

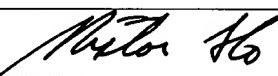
The present is a response to a Notice of Omitted Items in a Non-Provisional Application dated February 6, 2001, a copy of which is hereby enclosed for the convenience of the Examiner. In the notice, it is indicated that Figure 3 appears to have been omitted from the Application. The Applicants hereby enclose a copy of a Communication dated February 27, 2001, submitting Figure 3 and, therefore, fully responding to the Notice of Omitted Item(s). As noted in the Communication, the figure did not introduce any new matter because the same figure was included in the prior provisional application from which previous rights were claimed and which was incorporated by reference. Accordingly, the inclusion of Figure 3 should not affect the filing date for the present application.

As the requested items were submitted in a timely matter, no fee is seen as necessary. Should the Applicants be in error, the Applicants hereby request any necessary extension of time and authorize the Commissioner to deduct any additionally required fees from or credit any overpayment to Deposit Account 13-3723.

If there are any matters that may be resolved or clarified through a telephone interview, the Applicants' Attorney makes himself available at the telephone number listed below. Alternately, the Examiner may fax communications directly to the Applicants' Attorney at the facsimile number listed below.

Respectfully submitted,

Registration Number 39,460	Telephone Number 512-984-7443
Date April 19, 2001	

By 
Néstor F. Ho

Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427
Facsimile: (651) 736-3833
Austin Facsimile: 512-984-2020



DUPLICATE ORIGINAL

Patent
Docket No: 49837USA4K.051

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David J. W. Aastuen and
Charles L. Bruzzone

Serial No.: 09/746,933

Filed: December 22, 2000

For: REFLECTIVE LCD PROJECTION SYSTEM
USING WIDE-ANGLE CARTESIAN
POLARIZING BEAM SPLITTER AND COLOR
SEPARATION AND RECOMBINATION PRISMS

Group Art Unit: Unknown

Examiner: Unknown

DUE DATE(S)

ATTORNEY NFH
DOCKETED

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

February 27, 2001

Date

Signature

COMMUNICATION

Commissioner for Patents
Washington, DC 20231

RECEIVED

MAR 29 2001

Dear Sir:

NESTOR F. HO

The Applicants have noticed a mistake on the Transmittal Letter for the filing of the above identified application. It should be noted that this application is a Continuation-in-Part and was correctly so indicated in the text itself. Also, please note that the Docket No. 55376USA4A.006 is an incorrect number. Please change your record to reflect the number listed above.

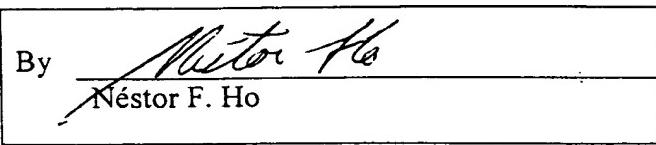
Enclosed please find a new Oath and Declaration and Assignment with proper cover sheet for the current application showing that David J. W. Aastuen and Charles L. Bruzzone are the only inventors of this Continuation-in-Part patent application.

Also enclosed, please find a copy of Figure 3 that was not submitted with the above identified application and was omitted unintentionally. This figure does not introduce new material as it is the same figure that was included in the prior provisional application from which previous rights were claimed.

Respectfully submitted,

Registration Number 39,460	Telephone Number 512-984-7443
Date February 27, 2001	

By



Néstor F. Ho

Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
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